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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/884,868

06/19/2001

Ronald Lourie

5044600/30250

CONFIRMATION NO. 1903

26386
DAVIS, BROWN, KOEHN, SHORS & ROBERTS, P.C.
THE FINANCIAL CENTER
666 WALNUT STREET
SUITE 2500
DES MOINES, IA 50309-3993

FORMALITIES LETTER

OC000000006424532

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/26/2001 SSESHE1 00000048 09884868

01 FC:201 02 FC:205 355.00 OP 65.00 OP



Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



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SECTOR (3) PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL **Application Number** 09/884,868 **FORM** Jun 19, 2001 **Filing Date** (to be used for all correspondence after initial filing) **First Named Inventor** Lourie, Ronald Group Art Unit 2165 **Examiner Name** 5 Total Number of Pages in This Submission Attorney Docket Number 5044600/30250

ENCLOSURES (check all that apply)									
図	Fee Transmittal Form X Fee Attached		Ass (for	ignment Papers an Application)		After Allowance Communication to Group			
			Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application			Appeal Communication to Board of Appeals and Interferences			
	Amendment / Reply					Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
	After Final					Proprietary Information			
	Affidavits/declaration(s)					Status Letter			
	Extension of Time Request		Cha	ver of Attorney, Revocation nge of Correspondence ress	区	Other Enclosure(s) (please identify below):			
	Express Abandonment Request		Terminal Disclaimer			e to File Missing Parts and Return ard			
	Information Disclosure Statement		Red	uest for Refund					
	Certified Copy of Priority Document(s)		CD,	Number of CD(s)					
区	Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks						
		SIGNAT	URE OF AP	PLICANT, ATTORNEY, OF	RAGEN	IT			
Firm or Individual name		Daniel A. Rosenber	g						
Signature		Doniel A	Rosent	ν 3					
Date		September 18, 200	1	·					
			0555	FIGATE OF MAIL INC					
	CERTIFICATE OF MAILING								
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CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:							
Type or printed name	Kristen T. McKern						
Signature	Kristen J. Mexem	Date	September 18, 2001				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEETRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 420.00

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Complete if Known						
Application Number	09/884,868					
Filing Date	6/19/2001					
First Named Inventor	Lourie					
Examiner Name						
Group Art Unit	2165					
Attorney Docket No.	5044600/30250					

METHOD OF PAYMENT	FEE CALCULATION (continued)						
X The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES						
indicated fees and credit any overpayments to:		Large		Small			
Deposit 19 99 50	Fee	Entity Fee	Fee	Entity Fee			
Account Number 12-2250	Code	(\$)	Code	(\$)	Fee Description	Fee Paid	
Deposit Account Davis, Brown, Koehn, Shors & Roberts,	105	130	205	65	Surcharge – late filing fee or oath	65.00	
Name P.C.							
Charge Any Additional Fee Required	127	50	227	25	Surcharge – late provisional filing fee or cover		
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.		400		400	sheet	<u> </u>	
X See 37 CFR 1.27	139	130	139	130	Non-English specification		
2. X Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination		
X Check Credit Card Money Order Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month		
Large Entity Small Entity	116	390	216	195	Extension for reply within second month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	890	217	445	Extension for reply within third month		
101 710 201 355 Utility filing fee 355.00	118	1,390	218	695	Extension for reply within fourth month		
106 320 206 160 Design filing fee	128	1,890	228	945	Extension for reply within fifth month		
107 490 207 245 Plant filing fee	119	310	219	155	Notice of Appeal		
108 710 208 355 Reissue filing fee	120	310	220	155	Filing a brief in support of an appeal		
114 150 214 75 Provisional filing fee	121	270	221	135	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 355.00	140	110	240	55	Petition to revive – unavoidable		
2. EXTRA CLAIM FEES	141	1,240	241	620	Petition to revive – unintentional		
Fee from Extra Claims Below Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)		
Total Claims -20**= X =	143	440	243	220	Design issue fee		
Independent - 3**= X =	144	600	244	300	Plant issue fee		
Multiple Dependent =	122	130	122	130	Petitions to the Commissioner		
	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt		
103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)		
102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
109 80 209 40 **Reissue independent claims over original patent	179	710	279	355	Request for Continued Examination (RCE)		
110 18 210 9 **Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other f	ee (spe	cify) _				
** or number previously paid, if greater; For Reissues, see above	*Reduce	ed by Bas	ic Filing F	ee Paid	SUBTOTAL (3) (\$) 65.00		

SUBMITTED BY			Complete (if applicable)			
Name (Print/Type)	Daniel A. Rosenberg	Registration No. (Attorney/Agent)	44308	Telephone	515-288-2500	
Signature	Donal A. h. suley			Date	9-18-01	

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